

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**T00001-0463-US**

In Re Application Of: **STRUIK, Marinus**

Serial No.  
**10/726,493**

Filing Date  
**December 4, 2003**

Examiner  
**Not Yet Assigned**

Group Art Unit  
**Not Yet Assigned**

Title: **METHOD AND APPARATUS FOR ENCODING SECURITY STATUS INFORMATION**

Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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10/726,493

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Not Yet Assigned

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**METHOD AND APPARATUS FOR ENCODING SECURITY STATUS INFORMATION**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated:

Mar 5/04

John R.S. Orange (Reg. 29,725)  
McCarthy Tetrault LLP  
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M5K 1E6 Canada

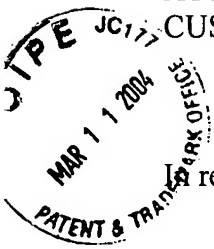
CC:

ATTORNEY DOCKET NO.:

T00001-0463-US (020488-336735)

CUSTOMER NUMBER:

000027155

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

In re patent application of:

**STRUIK, Marinus**Serial No.: **10/726,493** Group Art Unit: **NOT YET SPECIFIED**Filed: **December 4, 2003** Examiner: **NOT YET SPECIFIED**Title: **METHOD AND APPARATUS FOR ENCODING SECURITY STATUS INFORMATION**

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty to disclose under 37 CFR §1.56, Applicant submits herewith a Form PTO/SB/08A listing references of which the Applicant is aware and which are brought to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(2), to the extent possible a copy of each document listed in the enclosed Form PTO/SB/08A is submitted herewith.

The filing of this IDS shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material for patentability, or that no other material information exists. This filing shall not be construed as an admission against interest in any matter.

This IDS is submitted pursuant to 37 CFR §1.97(b) and, accordingly, no fee is believed to be due for consideration of the documents submitted herewith.

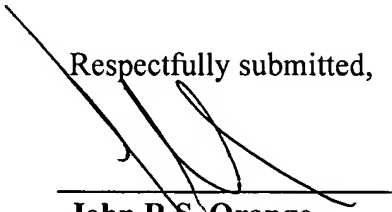
020488-336735

McCarthy Tétrault LLP TDO-RED #8217954 v. 1

Applicant respectfully requests consideration of the items listed and requests the Examiner to return a copy of the attached Form PTO/SB/08A after being marked as being considered by the Examiner.

Date: 2/3/04

Respectfully submitted,

  
\_\_\_\_\_  
**John R.S. Orange**  
Registration No. 29,725  
Agent for Applicant

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Toronto Dominion Bank Tower  
Toronto, Ontario M5K 1E6, Canada

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**Complete if Known**

Application Number	10/726,493
Filing Date	December 4, 2003
First Named Inventor	STRUİK
Art Unit	NOT YET SPECIFIED
Examiner Name	NOT YET SPECIFIED
Attorney Docket Number	T00001-0463-US (020488-336735)

Sheet	1	of	2
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[illegible][illegible]

Date	
Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (08-09)

Approved for use through 08/30/2003, OMB 0851-0031  
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Substitute for form 1449B/PTO			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			
(use as many sheets as necessary)			
Sheet	1	of	1
<b>Complete if Known</b>			
Application Number		10/726,493	
Filing Date		December 4, 2003	
First Named Inventor		STRUIK	
Group Art Unit		NOT YET SPECIFIED	
Examiner Name		NOT YET SPECIFIED	
Attorney Docket Number		T00001-0463-US (020488-336735)	

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.  
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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